



TFW 2153  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Timothy Roy Block : Date: May 3, 2005  
Group Art Unit: 2153 : IBM Corporation  
Examiner: B. E. Edelman : Intellectual Property Law  
Serial No.: 09/718,924 : Dept. 917, Bldg. 006-1  
Filed: November 22, 2000 : 3605 Highway 52 North  
Title: APPARATUS AND METHOD FOR : Rochester, MN 55901  
COMMUNICATING BETWEEN  
COMPUTER SYSTEMS USING A  
SLIDING SEND WINDOW FOR  
ORDERED MESSAGES IN A  
CLUSTERED COMPUTING  
ENVIRONMENT

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made. The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3).

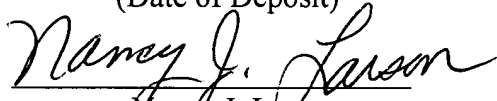
Serial No. 09/718,924  
Docket No. ROC920000123US1



This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(c) before a final action or notice of allowance. The filing fee of \$180.00 (for other than a small entity) is to be charged to our Deposit Account No. 09-0465.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

\_\_\_\_ May 3, 2005 \_\_\_\_  
(Date of Deposit)

  
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Nancy J. Larson

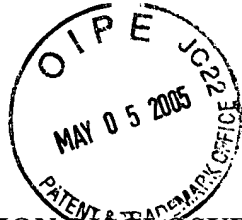
Respectfully submitted,

By: 

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PTO/SB/08A


**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**
Sheet 1 of 1

Application Number.: 09/718,924  
 Filing Date: November 22, 2000  
 First Named Inventor: Timothy Block  
 Art Unit: 2153  
 Examiner Name: B. E. Edelman  
 Attorney Docket Number.: ROC920000123US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US 6,065,062 A	05/16/2000	Ravi Periasamy et al	
		US 6,611,923 B1	08/26/2003	Madhav Mutalik et al	
		US 6,367,029 B1	04/02/2002	Martin Mayhead et al	
		US 6,408,310 B1	06/18/2002	Donald Ralph Hart	
		US 6,292,905 B1	09/18/2001	Walter A. Wallach et al	
		US 6,757,698 B2	06/29/2004	Stephen Larry McBride et al	
		US 6,847,984 B1	01/25/2005	Christopher Midgley et al	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>
		Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)				

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.